

## 6.5 Household survey tool

HSELA17 questionnaire is based on identified key indicators, reflecting the most and appropriated data-needs. Those indicators will be translate into demographic, livelihood, protection, food consumption and socio-economic questions. The questionnaire should take 0.45 min up to 1 hour long maximum, on average, and will collect the necessary information at registration case and household level. The questionnaire will cover 5-7 sections (see analysis plan for details of each section).

The data collection will use the mobile devices – Open Data Kit version and uploading to the UNHCR platform. The questionnaire will be tested during the 2 weeks training of enumerators prior the field use to determine ODK functionality and ensure that data-upload runs correctly.

### HOUSEHOLD SOCIO-ECONOMIC AND LIVELIHOODS ASSESSMENT 2017

COMPLETE BEFORE THE INTERVIEW

1	DATE OF INTERVIEW	_ _  /  _ _  / 2017  Day      Month	
	Enumerator ID:	_ _ _ _  [NUMERIC] [EACH ENUMERATOR WILL HAVE A UNIQUE ID]	
	Enumerator Name :	_____ [FIRST NAME] _____ [SURNAME]	
	Supervisor	_____ [FIRST NAME] _____ [SURNAME]	
2	Caseload:	_	1. Refugee/Asylum-seeker 2. Malawi (host-population)
3	For tracking purpose and if we families are reachable. The enurmotors will verify first, if families are reachable. If the household is reachable, the refugee will say "yes" and proceed to scan for refugee the UNHCR registration group number from the UNHCR certificate and or enter the code provided by sampling and the names of the household		
	If the household is not reachable, the enurmotors will manual enter the UNHCR registration group number and for Malawi (host-population) the TA no, village no and household no (from the sampling list that had been provided by the supervisor).		
	[FOR REFUGEE]:  2 7 4 - 0 9  C  0 0 9 5 1  [MALAWI]:  _ _ _  [TA (Traditional authority) no.  _ _ _  [Village no]  _ _ _  [Household no.]		
	Is the case reachable? [Review of sampling strategy]	YES_ NO_  [ By NO: Moved to another area within Malawi; Moved out of Malawi - Persons of Concern moved to Country of Origin (CoO); Moved out of Malawi - Persons of Concern migrate other country;Other]	
4	UNHCR case number (or national ID number for Malawian citizen)	[FOR REFUGEE]:  2 7 4 - 0 9  C  0 0 9 5 1  [MALAWI]:  _ _ _  [TA (Traditional authority) no.  _ _ _  [Village no]  _ _ _  [Household no.] _____ [FIRST NAME] _____ [SURNAME]	
8	<p><b>Preliminary introduction to the household assessment questionnaire:</b></p> <p>We are working for UNHCR a humanitarian organization. We would like to ask you some questions about your household's circumstances and livelihood condition. Your answers will help us to understand your household's living conditions, needs and will help to inform priority setting, programming and/or advocacy."</p> <p>This questionnaire is voluntary. You can choose not to participate. If you decide to participate, you may answer some or all of the questions. Please understand that if you do not participate, a lack of information about your living conditions and needs may prevent us from being able to assess your livelihood and current condition to receive some forms of humanitarian assistance and services.</p> <p>To assist us in coordinating the provision of humanitarian assistance and services, we may share the information collected during this questionnaire with partner organizations, such as JRS, Plan Malawi and GoM (ministries of Home Affairs, Health, Agriculture, Women Affarirs, Labor and Economic Planning). The information will only be provided for programming and no other purpose. All of the answers that you provide will be stored securely and will not be shared with anyone else, or for any other purpose. The questionnaire usually takes about one hour to complete.</p> <p>Do you have any questions about this assessment?" [REMINDER Q/A sheet that Enumerator]</p>		
9	Declaration: To be signed by the Principal Applicant of the household (Electronic signature / Box to be ticked): [ ] I hereby authorize the collection and sharing of the information I provide, with the partner organizations listed in the list I have been shown/provided. I		

	<p>understand that such collection and sharing is strictly limited on a need to know basis, to information that is necessary in order for UNHCR and partner organizations to better understand and meet my household's needs (livelihood, food and non-food items, education, social and protection counseling). I understand that at any time, I may request and obtain an up-to-date list of partner organizations with whom the information I have provided has been, is being or will be shared with. I have understood that the interview will not result in direct support to me or the community</p> <p><input type="checkbox"/> I agree to participate in this questionnaire but I do not consent to details of my household assessment being shared with partner organizations.</p> <p><input type="checkbox"/> I do not agree to participate in this questionnaire.</p>	
<p>[Note: If any person of concern in the household does not wish to participate in the survey, thank them for their time and do not try to persuade them to change their mind. Inform your supervisor that a household member(s) did not wish to participate. ]</p>		
10	Location ID	<p><b>P-CODE:</b></p> <p><b>FOR MALAWI POPULATION:</b>  District: [1]DOWA or [2]NENO</p> <p><b>FOR REFUGEE POPULATION:</b>  CAMP: [3] Dzalekaor [4] Luwani</p>

## SECTION 1– SETTING THE INTERVIEW

*A household is defined as a group of people who routinely eat out of same pot, live in the same compound (or physical location), share the same budget that is managed by the head of the household. It is possible that they may live in different structures*

*Identify the PA of the registration number referred or, if not present, an adult included in the same registration case that is considered capable and reliable for the interview.*

**Nb:** We need to find KOBO-Box solution that allows a solution to capture several registration group numbers UNHCR Malawi has a good registration update. This database is organize in 'main' registration group case and it is link to possible 1 or more other registration group case, however most of the categorization is link to the overall household concept.

**A. Are you the Head of Household? YES (1) or NO (2)**

*If YES, go to 1.2*

*If NO please ask the relation 1.1[Ask the next adult member (over 18 years old) to answer on behalf of the HoH]*

		Wife / Husband	1
		Mother/Father	2
		Daughter /Son	3
		Brother/Sister	4
		Father-in-law/Mother-in-law	5
		Brother-in-law/Sister-in-law	6
		Grandfather/Grandmother	7
		Extended family (uncle/aunt/niece/nephew/cousin etc)	8
		Guest	9
		Foster child	10
		Other, please specify	11
		<b>Head of the household</b>	<b>Interviewee</b>
1.2	What is the gender of the household head, or in case the the interviewee	Male (1) Female (2)	Male (1) Female (2)
1.4	What is the age of the household head or in case the interviewee? [in years/ approximate age is sufficient] [Constraint: Needs to be over 18 years old/no minor HoH will be interviewed]	____   years	____   years
1.6	What is the nationality of the Head of the Household in case the interviewee? [For refugees the nationality will be automatically determined by the prepopulation and for host population it will be Malawian by default]		
	DRC	1	1
	Mozambique	2	2
	Rwanda	3	3

	Burundi	4	4
	Malawi	5	5
	Ethiopian	6	6
	Somalian	7	7
	South Sudan	8	8
	Stateless	9	9
	Other (please specify) _____	10	10

SECTION 2– DEMOGRAPHICS AND BIO-DATA

2.1	Total number of household members [NEEDS TO BE ASK FOR REFUGEES and MALAWI POPULATION]	__
<b>IN THE CASE OF REFUGEES AND ASYLUM SEEKERS.</b>		
1	Are all the Household members registered with UNHCR?	YES_ NO_
2	If, No. How many HH members are not registered with UNHCR?	__  [2 Digit/numeric]
3	In case, you have other registration group (family) living in your household. (link case to the focal point). Please enter the registration group number(s) [can be several case numbers]	[FOR REFUGEE]: [2]7[4]-[0]9] C [0]0[9]5[1]
4	Please ask for the registration certificate(s) and the permission to take a picture of it. Please, include WFP ration (s) card in the picture, if any.	
5	Mobile Phone number of household: [In case a follow up is required]	Example [099 112 05] (8 digits/numeric)
6	Are there other persons registered with this registration group(s) in the same case number that are not part of this household?	YES_ NO_

SECTION 3– INDIVIDUAL QUESTIONNAIRE

IDENTIFICATION, GENDER, AGE, NATIONALITY AND RELATIONS

[Enumerator will enter for each registered household member the individual UNHCR registration code, for household members who are NOT registered with UNHCR and had been declared by the Head of Household being part of the household will be recorded. Malawi host population will be enter with the provided fields.

A ID	3.1. First name	3.2. Family name	3.3. Gender Male 1 Female 2	3.4. Date of birth <i>If supported by a document – If no document or not known, enter latest know date</i>  If not know; Child age (months or years)  <i>For children only: To estimate with care-taker if date of birth is uncertain or unknown (use calendar)</i>	3.5 Nationality:  1. DRC 2. Mozambique 3. Rwanda 4. Burundi 5. Malawi 6. Ethiopian 7. Somalian 8. South Sudan 9. Stateless 10. Other (please specify)	3.6 Indicate Civil Status Monogamous Married or Non-Formal Union  1. Polygamous Married or Non-Formal Union 2. Separated 3. Divorced 4. Widow/Widower 5. Single	3.8 Relationship to HH  1. Head of Household/Wife/Husband 2. Mother/Father 3. Daughter/Son 4. Brother/Sister 5. Father-in-law/Mother-in-law 6. Brother-in-law/Sister-in-law Extended family (uncle/aunt/cousin/niece/nephew etc) 7. No family relationship – guest 8. Other, please specify
UNHCR individuals Number or starting with 1			__	_ _  /  _ _  /  _ _ _ _ _ _ _ _  _   Day/ Month year/			
2			__	_ _  /  _ _  /  _ _ _ _ _ _ _ _  Day/ Month year/			
3			__	_ _  /  _ _  /  _ _ _ _ _ _ _ _  Day/ Month year/			
Continued, pending on hh size. Each member will be recorded			__	_ _  /  _ _  /  _ _ _ _ _ _ _ _  Day/ Month year/			

EDUCATION AND WORK FOR HOUSEHOLD MEMBERS			
AID	3.9 What is the highest educational qualification you have acquired?  NONE 1 PSLC 2 JCE 3 MSCE 4 NON-UNIV.DIPLOMA 5 UNIVr. DIPLOMA 6 POST-Grad Degree 7  [For refugees the nationality will be automatically determined by the pre population and for host population it will determined by the enumerators]	3.10 Can this person read and write in any language?  Yes (1) No (2)  [For refugees the nationality will be automatically determined by the pre population and for host population it will determined by the enumerators]	3.11 In the last 30 days, did any member of your household work? [age range (>=5 yrs)]  Yes (1) No (2)  DEFINITION: UNPAID/PAID WORK [Training will provide definition]  [Need to be asked to refugee household members and host population]
1			
2			
3			
4			
5			
6			
7			
Continued, pending on hh size. Each member will be recorded			

SPECIFIC NEEDS OF THE HOUSEHOLD MEMBERS					
Does anyone in your HH have any of the following specific needs? [Training material will provide/define more info of specific needs classification]					
3.12 Is any member of your household disabled (physical, sensoria, mental/intellectual) ?  Yes (1) No (2)  [Need to be asked to refugee household members and host population]	3.13 Does any member of your household suffer from chronic illness?  Yes (1) No (2)  [Need to be asked to refugee household members and host population]	3.14 Does any member of your household suffer from temporary illness and/or injury? Yes (1) No (2)  [Need to be asked to refugee household members and host population]	3.15 Does any member of your household suffer from a serious medical condition?  Yes (1) No (2)  [Need to be asked to refugee household members and host population]	3.16 Does any HH member need assistance from another person to use the toilet?  Yes (1) No (2)  If yes, go to 3.17  [Need to be asked to refugee household members and host population]	3.17 Is there a caregiver available? 1 =A caregiver is available full time 2=A caregiver is available sometimes 3=No caregiver is available  [Need to be asked to refugee household members and host population]

TO BE ASKED TO REGISTERED AND NON-REGISTERED HOUSEHOLD AND HOST POPULATION MEMBERS IN SPECIFIC AGE RANGES		
3.23 TO BE ASKED FOR ALL CHILDREN AND SCHOOL RELATED QUESTIONS ONLY FOR HoH MEMBERS IN THE AGE RANGE 3-24 YEARS OLD [Need to be asked to age group refugee household members and host population]		
3.18 Do you have any children less than 18 years in your household? Relevant =<18 yrs old  Yes (1) No (2)  If yes in 3.18 go to 3.19  [Need to be asked to refugee household members and host population]	3.19 Did any of your children less than 18 years perform any work during school hours?  Yes (1) No (2)  If yes in 3.19 go to 3.20 [Need to be asked to refugee household members and host population]	3.20 What was the main work they performed? 1. Agriculture (cropping, livestock etc) 2. Macufaturing ( Brick maker,Charcoal maker) 3. Constructing 4. Wholetrade and retrail trade 5. Other Services (e.g. hotel, restaurant, transport, personal services such as cleaning, hair care, cooking and child care)  [Need to be asked to refugee household members and host population]

#### SECTION 4— HOUSEHOLD SHELTER AND SERVICES

4.1	WHAT GENERAL TYPE OF CONSTRUCTION MATERIAL ARE USED FOR THE DWELLING?	1. PERMANENT 2. SEMI-PERMANENT (SEMI-PERMANENT IS MIX OF TRADITIONAL (GRASS, MUD) & MODERN MATERIALS (IRON SHEET, CEMENT) 3. TRADITIONAL
4.2	The OUTER WALLS OF THE MAIN DWELLING OF THE HOUSEHOLD ARE PREDOMINANTLY MADE OF WHAT MATERIAL	1. Grass 2. Mud (YOMATA) 3. COMPACTED EARTH (YAMDINO) 4. MUD BRICK (UNFIRED) 4 5. BURNT BRICKS 5 6. CONCRETE 7. WOOD 8. IRON SHEETS 8 9. OTHER (Specify)
4.3	THE ROOF OF THE MAIN DWELLING IS PREDOMINANTLY MADE OF WHAT MATERIAL	1. GRASS 2. IRON SHEETS 3. CLAY TILES 4. CONCRETE 5. PLASTIC SHEETING 6. Other (Specify)

4.4	Type of occupancy [ Constrains: CIRCLE ONLY ONE OPTION] Do you own or are purchasing this house, is it provided to you use it for free, or do you rent this house?	Owned	1
		Being PURCHASED	2
		Employer provides [If circle go to 4.4.1]	3
		FREE, AUTHORIZED [For refuges] assistance mainly for camp [If circle go to 4.4.1]	4
		FREE, NOT AUTHORIZED [If circle go to 4.4.1]	5
		Rented [If circle go to 4.4.2]	6

4.4.1	Estimate the rent you need to pay ff you rented this dwelling?	_____  MK
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4.4.2	If renting, how much do you pay in Malawi Kwacha for your accommodation per month?	_____  MK
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4.5	Living space in m <sup>2</sup> Occupied by your HH	_____  m2
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4.6	How many separate rooms do the members of your household occupy? DO NOT COUNTS bathrooms, toilets, storerooms, or garage)? Occupied by your HH	_____
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4.7	How many people are sharing this living space? [Please add the exact number of people]	_____
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4.8	What is your main source of drinking water?	<ol style="list-style-type: none"> <li>1. Piped into dwelling</li> <li>2. Piped into yard/plot</li> <li>3. Communal standpipe</li> <li>4. Open well in yard/plot</li> <li>5. Open public well</li> <li>6. Protected well in yard/plot</li> <li>7. Protected public well</li> <li>8. Borehole</li> <li>9. Spring</li> <li>10. River/Stream</li> <li>11. Pond/lake</li> <li>12. Dam</li> <li>13. Rainwater</li> <li>14. Tanker Truck/Bowser</li> <li>15. Bottled water</li> <li>16. Other specify)</li> </ol>												
4.9	What kind of Toilet facility does your household use? <b>[CIRCLE ONLY THE MAIN OPTION]</b>	<table border="1"> <tr><td>Flush toilet</td><td>1</td></tr> <tr><td>VIP Latrine</td><td>2</td></tr> <tr><td>Traditional latrine w/roof</td><td>3</td></tr> <tr><td>Traditional latrine without roof</td><td>4</td></tr> <tr><td>None (open air.bush)</td><td>5</td></tr> <tr><td>Other (specify)</td><td>6</td></tr> </table>	Flush toilet	1	VIP Latrine	2	Traditional latrine w/roof	3	Traditional latrine without roof	4	None (open air.bush)	5	Other (specify)	6
Flush toilet	1													
VIP Latrine	2													
Traditional latrine w/roof	3													
Traditional latrine without roof	4													
None (open air.bush)	5													
Other (specify)	6													
4.10	Is this toilet facility for the use of: [READ RESPONSES] <ol style="list-style-type: none"> <li>1. Household members only</li> <li>2. Other household also</li> </ol>	__												
4.11	What is your main source of lighting fuel?	<ol style="list-style-type: none"> <li>1. Collected FIREWOOD</li> <li>2. PURCHASED FIREWOOD</li> <li>3. GRASS</li> <li>4. PARAFFIN</li> <li>5. ELECTRICTY</li> <li>6. GAS</li> <li>7. BATTERY/DRY CELL (TORCH)</li> <li>8. CANDLES</li> <li>9. BATTERY/DRY CELL (CAR)</li> <li>10. From solar (battery) panel</li> <li>11. OTHER (SPECIFY)</li> <li>12.</li> </ol>												
4.12	What is your main source of cooking fuel?	<ol style="list-style-type: none"> <li>1. Collected FIREWOOD</li> <li>2. PURCHASED FIREWOOD</li> <li>3. GRASS</li> <li>4. PARAFFIN</li> <li>5. ELECTRICTY</li> <li>6. GAS</li> <li>7. CHARCOAL</li> <li>8. CROP RESIDUE SAW DUST</li> <li>9. ANIMAL WASTE</li> <li>10. OTHER (SPECIFY)</li> </ol>												
4.13	Does your household have access to sufficient cooking fuel to cover your cooking needs?	Yes = 1      No = 0=												
4.14	Do you have access to electricity in your dwelling? If yes, to go 4.14.1	Yes = 1      No = 0=												
4.14.1	How many hours per day, on average, do you have access to power from the grid?	<table border="1"> <tr><td>Less one hour</td><td>1</td></tr> <tr><td>1-2 hrs</td><td>2</td></tr> <tr><td>3-4 hrs</td><td>3</td></tr> <tr><td>5-6 hrs</td><td>4</td></tr> <tr><td>6-10 hrs</td><td>5</td></tr> <tr><td>f. More than 10 hrs</td><td>6</td></tr> </table>	Less one hour	1	1-2 hrs	2	3-4 hrs	3	5-6 hrs	4	6-10 hrs	5	f. More than 10 hrs	6
Less one hour	1													
1-2 hrs	2													
3-4 hrs	3													
5-6 hrs	4													
6-10 hrs	5													
f. More than 10 hrs	6													
4.15	Does the HH have enough access to the following	<table border="1"> <tr><td>Personal hygiene items (soap, toothbrush/paste, other personal hygiene items)</td><td>Yes = 1</td><td>No = 0=</td></tr> <tr><td>Cleaning/hygiene items (laundry detergent, cleaning products etc)</td><td>Yes = 1</td><td>No = 0=</td></tr> <tr><td>Female hygiene/dignity items</td><td>Yes = 1</td><td>No = 0=</td></tr> <tr><td>Baby care items (diapers etc)</td><td>Yes = 1</td><td>No = 0=</td></tr> </table>	Personal hygiene items (soap, toothbrush/paste, other personal hygiene items)	Yes = 1	No = 0=	Cleaning/hygiene items (laundry detergent, cleaning products etc)	Yes = 1	No = 0=	Female hygiene/dignity items	Yes = 1	No = 0=	Baby care items (diapers etc)	Yes = 1	No = 0=
Personal hygiene items (soap, toothbrush/paste, other personal hygiene items)	Yes = 1	No = 0=												
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Female hygiene/dignity items	Yes = 1	No = 0=												
Baby care items (diapers etc)	Yes = 1	No = 0=												

**SECTION 5 – HOUSEHOLD ASSETS (DURABLE GOODS)**

Does your household currently own one or more of the following items? (in usable condition)			
Read out each of the items below	Indicate if the household own the following items 0=no    1=yes	Read out each of the items below	Indicate if the household own the following items 0=no    1=yes

5.1	Mortar/pestle (mtondo)	__	5.19	Washing machine	__
5.2	Bed	__	5.20	Bicycle	__
5.3	Blanket	__	5.21	Motorcycle/scooter	__
5.4	Mattress	__	5.22	Car	__
5.5	Water containers	__	5.23	Mini-bus	__
5.6	Cooking pots and pans	__	5.24	Lorry	__
5.7	Table	__	5.25	Beer-brewing drum	__
5.8	Chair	__	5.26	Upholstered chair sofa set	__
5.9	Fan	__	5.27	Coffee table (for sitting room)	__
5.10	Air conditioner	__	5.28	Cupboard, drawers, bureau	__
5.11	Radio ('wireless')	__	5.29	Lantern (paraffin)	__
5.12	Tape or CD/DVD player;Hifi	__	5.30	Reading lamp (solar)	__
5.13	Television	__	5.31	Desk	__
5.14	VCR	__	5.32	Clock	__
5.15	Sewing machine	__	5.33	Iron (for pressing clothes)	__
5.16	Kerosene/paraffin stove	__	5.34	Computer equipments&accessories	__
5.17	Electroc or gas stove;hot plate	__	5.35	Satelite dish	__
5.18	Refrigerator	__	5.36	Solar panel	__
5.19	Washing machine	__	5.37	Mosquito net	__
5.20	Bicycle	__	5.38	Generator	__

## SECTION 6 – SAFETY AND SECURITY

We are going to ask you some questions related safety and security. This is voluntary and we hope that you collaborate.

6.1	Has any of your household members been directly affected by any kind of issues related to their safety during the last 3 months in your community?	0 = No    1= Yes    2= I don't want answer
	What kind of safety issue?	
	1.Harassment/violence/physical assault	__
	2.Kidnapping	__
	3.Extortion/bribe	__
	4.Theft / robbery	__
	5.Community violence/dispute	__
	6.Displacement/eviction	__
	7.Arrest or detention	__
8.Others (specify)	__	
6.2	Who were the perpetrators in this incidence?	0 = No    1= Yes    2= I don't want answer
	1.Do not know	__
	2.Hosts / Landlord	__
	3.Neighbors / Host community	__
	4.Local organizations/ charity based	__
	5.Shop owners/managers	__
	6.Refugee Leaders/ refugee community	__
	7.External persons to community	__
8.Others	__	
6.3	During daytime, is the free movement of any of your household members constrained due to lack of safety?	__
6.4	In general, rate the relationship between the refugee and host communities in this location? [Constraints: only one option]	
	1.Very good	__
	2.Good	__

	3. Neither good nor bad	__
	4. Bad go to 7.7.1	__
	5. Very Bad go to 7.7.1	__
	6. I do not know	__

6.5	If, selected d.(bad) and e. (very bad) What are the factors that contribute to this rating?	
	1. Size of refugee community	__
	2. Lack of political alignment of refugee / host community	__
	3. Lack of religious alignment of refugee / host community	__
	4. Socio-economic status of host community / area	__
	5. Other	__

## SECTION 7 – LIVELIHOODS AND INCOMES

7.1	Income sources	Response options	Comments
7.1.1	We would now like to ask you some questions related to your income sources. Please only refer to your main income source. For your main income source, are you currently self-employed or employed by someone else?	1. Self-employed in agriculture 2. Self-employed in non-agriculture 3. Employed by someone else	If 1 and 2 go to 7.1.2. If 3 then go to 7.1.4
7.1.2	You said that your main income source is from self-employment. In this activity, do you employ anyone?	1. Yes, refugees 2. Yes, from host community 3. Yes, other family members 4. No	If 1-3 then 7.1.3 If 4, go to 7.1.5
7.1.3	You said that you are employing others. Are your employees employed on a temporary or permanent basis?	1. Seasonal or temporary 2. Permanent 3. As casual daily laborers (ganyu)	All go to 7.1.5
7.1.4	You said that you are employed by someone else. How are you paid?	1. Cash 2. In-kind 3. Mix of cash and in kind	
7.1.5	How many of your household members are contributing to this main income?	(number) numeric, two digit	

7.2	AGRICULTURAL BASED INCOME SOURCES AS SELF-EMPLOYMENT IF RESPONDED YES TO SELF-EMPLOYED IN AGRICULTURE ANSWER THE FOLLOWING QUESTIONS: LAND AND ACCESS TO WATER FOR AGRICULTURE PRODUCTION [Circle the correct answer]	Response options	Comments
7.2.1	What is the land ownership of the land that you cultivate?	1. Owned land 2. Rented land 3. Communal land	
7.2.2	Do you have access to irrigation water on the land you cultivate?	1. Yes 2. No	

7.2.2: CROP FARMING BY THE HOUSEHOLD						
We now want to ask you some question related to crop farming within your household. Did you and your household cultivate any crops during the last (2015/16) season? YES (1) NO (2)						
(If yes, then ask if they cultivated any of the 5 crops listed below. If they cultivate a crop, fill in all information about this crop before asking about next crop)						
Crops	CROP FARMING PRODUCTION					
	Cultivating?	Source of seed	Use of fertilizer	Use of pesticides	Source of capital for all inputs?	
	1. Yes 2. No (if no, go to next crop)	1. Bought 2. Retained from last season 3. Both	1. Yes 2. No	1. Yes 2. No	1. From crop sales 2. Donation from an individual 3. Donated by an NGO or UNHCR 4. Donated by Government 5. Contract from private sector 6. Borrowed from a bank 7. Borrowed for local	

						association
						8. Remittance from relative
	1.Maize					
	2.Roots and tubers					
	3.Pulses					
	4.Vegetables (include tomatoes)					
	5.Fruits					

#### CROP OUTPUT, SALES AND MARKETS

CROP (only to be asked if any cultivation recorded in 7.2.2)	Quantities produced (2015/6 season) in Kg (Enumerator to convert any quantities into Kg)	Quantities Sold (2015/16 season)	Where did you sell? 1. I sell to a formal market (supermarket, grocery chain, retailer etc) 2. I sell at an informal market (daily markets) 3. I sell at an informal market (weekly markets) 4. I sell at an informal market (monthly markets) 5. I sell at my house/buyers come to my house/camp 6. No, I do not sell my goods (self-consumption)	Approximate income earned from sales during last season (2015/16), in Malawi Kwacha?
1.Maize	Numeric, 5 digits	Numeric, 5 digits		Numeric, 7 digits
2.Roots and tubers	Numeric, 5 digits	Numeric, 5 digits		Numeric, 7 digits
3.Pulses	Numeric, 5 digits	Numeric, 5 digits		Numeric, 7 digits
4.Vegetables (include tomatoes)	Numeric, 5 digits	Numeric, 5 digits		Numeric, 7 digits
5.Fruits	Numeric, 5 digits	Numeric, 5 digits		Numeric, 7 digits

#### LIVESTOCK FARMING

##### LIVESTOCK FARMING

We now want to ask you some question regarding your ownership of livestock. Do you have any livestock?

1. Yes
2. No (if no, go to 7.3)

Livestock (If 0 for a type of livestock, then go to next)	Total Number Owned (numeric, 3 digits)	Source of livestock: 1. Own breeding 2. Purchased 3. Donated by UNHCR 4. Donated by an NGO 5. Renting/keeping for someone	Estimated total value (MK) of breed.  Numeric, 7 digits	Do you have a place to keep livestock? 1. Yes 2. No	If yes what type? 1. Paddock 2. Sties 3. Livestock house 4. Livestock cage 5. No I keep in the house 6. No it is free ranging	Do you employ workers or family members? 1. Yes 2. No	How many are employed or work managing this livestock?  Numeric, two digits
1.Cattle							
2.Pigs							
3.Goats							
4. Sheep							
5. Broiler Chickens							
6. Egg chickens							
7. Local chickens							

#### LIVESTOCK SALES AND MARKETING

You said owned some livestock. We now want to ask you some questions on sale of livestock during the last 12

**month. Have you sold any of the following livestock?**

Livestock	Number sold (last 12 months)  Numeric, 3 digits	Where did you sell? 1. I sold to a formal market (supermarket, grocery chain, retailer etc) 2. I sold at an informal market (daily markets) 3. I sold at an informal market (weekly markets) 4. I sold at an informal market (monthly markets) 5. I sold at my house/buyers come to my house/camp 6. No, I do not sell my goods (self-consumption) Other, Please Specify	Income earned from livestock sales during the last 12 months? MK {numeric value, 7 digits}
1.Cattle			
2.Pigs			
3.Goats			
4. Sheep			
5. Broiler Chickens			
6. Egg chickens			
7. Local chickens			

**7.3 NON AGRICULTURAL BUSINESS**

**We now want to ask you some questions related to businesses other than agriculture.**

7.3.1 Are you involved in any of the following: 1. Non-agricultural business or service from home or a household-owned shop, as a carwash owner, metal worker, mechanics carpenter, tailor, barber, etc? 2. Processed and sold any agricultural by-product, including flour, starch, juices, beer, jam, oil, seed, bran, etc but excluding livestock by products, fresh/processed fish? 3. Owned a trading business on a street or in a market? 4. Offered any services or sold anything on a street or in a market, including firewood, home-made charcoal, curios, construction timer, woodpoles, traditional medicines, mats,bricks, cane furniture, weave baskets, thatch grass, etc. 5. Owned a professional office or offered professional services from home as a doctor, accountant, lawyer, private tutor, midwife, mason, etc 6. Drive a household-owned taxi or pick-up to provide transportation or moving services 7. Owned a bar or restaurant 8. Owned any other home or on a street computer software, phone repairs [INSTRUCTION FOR EACH BUSINESS SAID TO BE THE MAIN SOURCE OF INCOME ANSWER ROSTER QUESTIONS]	Do you own? 1. YES 2. NO	Do you have workers? 1. YES 2. NO	How many workers? Numeric field, 3 digits	Source of start-up of business capital? 1. Self raised capital 2. Donation from an individual 3. Donated by an NGO 4. Donated by Government 5. Contract from private sector 6. Borrowed from a bank 7. Borrowed for local association 8. Remittance from relative	What income did you derive from the business in the last month? MK [Numeric value, 8 digits]
1.					
2.					
3.					
4.					
5.					
6.					
7.					
8.					

**7.4 We now want to ask some questions related to paid work for others. If you are employed in more than one job, please refer to the main job that gives you the most income. Are you involved in wage employment?**

1. Yes  
2 No

In which sector are you employed? a. Specialized occupation: 1. Teacher, 2. Nurse, 3. Doctor/Pharmacist, 4. Social worker,	What are the employment terms? 1. Permanent, 2. Non permanent (monthly, seasonal, daily, weekly)	How are you paid? 1. Cash only 2. Mix of cash and in-kind 3. In-kind only	What income did you derive from the employment last month?	Where did you get your specialized skills? 1. Malawi 2. CoO (or	Are you attending any vocational or other training courses? 1. Yes 2. No
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5. Religious occupation			MK [NUMERIC VALUE, 7 digits]	another)	
<b>General occupation:</b>					
1. Child minder/cooking/maid					
2. Carpentry;					
3. Motor mechanics;					
4. Welding;					
5. Plumbing;					
6. Software engineer;					
7. Trader;					
8. Dress making;					
9. Hairdressing/barber/hair stylist/beautician;					
10. Cooking at market;					
11. Electrician;					
12. Arts and curios, 1					
13. Transporter/Taxi driver,					
14. Ganyu (rural labour)					

To all: We now want to ask you some questions related to challenges in conducting your various livelihoods or business activities.

**7.6 only to those responding "yes" in 7.2.2**

7.6 Did you face any challenge to conduct your agriculture activities in the last 3 months? If, yes, see options	YES (1) NO (2)
1. Inadequate access to land	
2. inadequate access to water	
3. Inadequate access to inputs (i.e, fertilizer, tools)	
4. Inadequate or lack of extensive of service (technical advice to conduct agricultural activities)	
5. Bad weather	
6. Lack of cash or credit	
7. Seasonal work	
8. Own-illness/needs to care for households members	

**7.7. Only to those who responded 'yes' in 7.3 (any business activity)**

7.7 Did you face any challenge to conduct your self-employed business activities in the last 3 months? If, yes, see options	YES (1) NO (2)
1. Lack of access to affordable office, service and or sales/market premises	
2. Lack or affordable utilities (i.e energy and water)	
3. Inadequate access to input material (purchase of good for sale and produce)	
4. Erratic market prices	
5. Inability to travel outside of community/camp	
6. Lack of cash	
7. Lack of credit	
8. Own-illness/needs to care for households members	

**7.8. All respondents should answer**

7.8 Did you face any challenge to be employed last 3 months?	YES (1) NO (2)
1. Few employments are available	
2. Inability to travel outside of community	
3. Not work permit	
4. Own-illness/needs to care for households members	
5. Did not try to find work	

**7.9 Increased tension in the household due to limited livelihoods opportunities.**

7.9 Increased tension in the household due to limited livelihoods opportunities.		YES (1) NO (2)
In the last 3 months, have you experienced tension within household?		
If yes, what is the main reason for this tension		
1. Uncertainty of the future		
2. Limited livelihoods opportunities		
3. Lack of food		
4. Lack of cash		
5. Low self esteem		
6. Other		

7.10 Income earnings assessment					
	Decreased	Remained the same	Increased	Don't Know/Not Sure	Not Applicable
1. From January to December last year(2016), has your total income:					
2. When you compare last month this year to the same month last year, has your savings decreased, increased, or remained the same?					

7.11 ACCESS TO FINANCIAL SERVICES	
1. Are you a member of a cooperative, association or social group/network?	1. Yes, savings group, such as a tontine, rotating organization of savings and credit association (ROSCA) or village savings and loans (VSL) 2. Yes, cooperative or association of individuals working together 3. Yes, social group (e.g. related to religion, community) 4. No
2. From January to December last year did you save money or invest in/buy assets with your savings?	1. Yes, I saved money 2. Yes I bought assets with my savings 3. Yes I bought assets with my savings and saved money 4. No 5. Did not have any savings
3. Do you currently have money saved?	1. Yes, I have savings in a bank 2. Yes, I have savings in a community banking mechanism (savings group, tontine, ROSCA, VSLA, etc.) 3. Yes, I have savings at home (either in money or in the form of assets) 4. No
4.If you had extra money, is there somewhere you can save it?	1. Yes, I could save in a bank 2. Yes, I could use a community banking mechanism (savings group, tontine, ROSCA, VSL, etc.) 3. Yes, I could save at home 4. No
5. Do you currently have any outstanding loans?	1. Yes, I have borrowed funds from a formal bank 2. Yes, I have borrowed funds through community banking 3. Yes, I have bought household items on credit and owe a person or a store 4. Yes, I have borrowed from friends or family 5. Yes, I have borrowed from other sources 6. No

**SECTION 8 – EXPENDITURES**

8.1	Did any household member work or search for work in the last 30 days?	YES and NO	
8.2	In the past 30 days, what was the total income of <b>all the HH members?</b> (Malawi Kwacha (MK)) MK 1000 to MK 100,000	_ _	
8.3	How many of the employments (income sources) of the last 30 days are permanent, seasonal or temporary?	Permanent	_ _
		Seasonal	_ _
		Temporary	_ _

8.4	In the last 30 days, what were the three main sources of cash/income to sustain your household? <i>(Use the codes below - If other specify)</i>	First main source	_ _
		Second source	_ _
		Third source	_ _
Agriculture (cropping, livestock etc)		1	
Manufacturing ( Brick maker,Charcoal maker)		2	
Construction		3	
Wholesale and retail trade		4	
Other Services (e.g. hotel, restaurant, transport, personal services such as cleaning, hair care, cooking and child care)		5	

8.5	How much money did you spend (including voucher) on the following foods during <b>last 30 days</b> for your family consumption?  <i>If not bought: write 0</i>	What is the value of the food that was consumed in the household during the last 30 days and was not purchased (donation, food aid, credit, exchange, own production, gathering/hunting)	9.6
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<b>Round up the figures (no comma)</b>			
<b>Malawi Kwacha (MK)</b>			
<b>a</b>	Bread, pasta,	_____	_____
<b>b</b>	Cereals (sorghum, millet, maize, rice, wheat)	_____	_____
<b>c</b>	Tubers (potatoes)	_____	_____
<b>d</b>	Groundnuts/beans/pulses	_____	_____
<b>e</b>	Milk/yoghurt/cheese	_____	_____
<b>f</b>	Oil, fat, gee	_____	_____
<b>g</b>	Sugar, sweets	_____	_____
<b>h</b>	Canned food (tomato paste, tuna, meat)	_____	_____
<b>i</b>	Fresh Meat/Chicken/eggs/fish	_____	_____
<b>j</b>	Fresh Fruits and vegetables	_____	_____
<b>k</b>	Other foods (condiments, spices, salt, etc.)	_____	_____
<b>l</b>	Cooked/processed food eaten at home or outside by the family	_____	_____

<b>8.6</b>	<b>What is the estimated amount spent by the household during LAST MONTH for the following items:</b>	
	<i>Write 0 if there is no expenditure</i>	<b>LAST MONTH (30 days)</b>
<b>A</b>	<b>TOTAL expenditure by the household in the last month</b>	_____
<b>B</b>	Food expenditure	_____
<b>C</b>	Health expenditures	_____
<b>D</b>	Education expenditures	_____
<b>E</b>	Rent	_____
<b>F</b>	Water	_____
<b>G</b>	Soap and other household hygiene items (including diapers/nappies)	_____
<b>H</b>	Fuel (petrol, etc.)	_____
<b>I</b>	Gas	_____
<b>J</b>	Transport	_____
<b>K</b>	Electricity	_____
<b>L</b>	Clothing	_____
<b>M</b>	Telecommunication (mobile, satellite, etc.)	_____
<b>N</b>	Household utilities or assets (such as cooking pots/utensils, furniture, etc)	_____
<b>O</b>	Alcohol, wine, tobacco	_____
<b>P</b>	Shelter materials	_____
<b>Q</b>	Cost of registration/legalizing stay in Malawi	_____
<b>R</b>	All the rest of expenditures (milling, labor, ceremonies, firewood, waste collection, Desludging (emptying) of toilets / septic tanks, agricultural and livestock inputs, purchase of income generating equipment, savings, gave money to other family or relatives, shelter material, debt repayment, etc.)	_____

<b>8.7</b>	Would you like to add any comments/observations at this stage?	Yes / 1 No / 2
	If yes, please enter your comments	

#### SECTION 9— FOOD SOURCES AND CONSUMPTION

9.1	How many meals (warm and cooked) did the adults of this household eat yesterday?	__
9.2	How many meals (warm and cooked) did the children under 5 of this household eat yesterday? IF NO CHILDREN IN THE HH, WRITE 99 for N/A	__
9.3	Is your household able to cook its food at least once a day, on average CIRCLE ONLY ONE OPTION	Yes / 1    No / 2

9.4	If not, what is the main reason?	Lack of stove or access to kitchen	1
		Lack of cooking fuel (gas, elect.)	2
		Lack of utensils	3
		Lack of adequate safe water	4
		No time or can't cook	6
		Lack of food to cook	7
		Other (_____)	8

9.5	Among the members of the household who is prioritized to access to food?	All have equal access	0
		Children	1
		Elders	2
		Adult male	3
		Adult female	4

9.6. How many days in the **last 7 days** has any member of your household eaten the following food items, and what was the main source of each food item consumed

**ASK LINE BY LINE FOR EACH ITEM BOTH QUESTIONS Write 0 for foods not eaten over the last 7 days**  
**Use codes below for the food sources - If there are several sources for a same food, indicate the main source**

Food item		b) Main food source (Where do you get it from?) Insert code from below	(0 - 7) a) Number of days when the food was eaten last week(0 to 7)
A	<b>Cereals, Grains and Cereal Products</b> (Rice, maize, wheat, bulgur, millet, other cereal)	___	___
B	<b>Bread and Pasta</b>	___	___
C	<b>Roots, Tubers</b> (Potato, Irish Potato; Cassava Tuber/Flour; Other Tuber) DO NOT INCLUDE ORANGE SWEET POTATO IN THIS GROUP	___	___
D	<b>Nuts and Pulses</b> Bean; lentils, Pigeon Pea; Chick peas, Groundnut; Ground Bean; green peas, Cow Pea; Other Nut/Pulse), Garden Peas, (Sweet Peas)	___	___
E	<b>Green leafy vegetables:</b> , spinach, chicory, amaranth, wild leaves, rockets, quets, other dark green leaves,	___	___
F	<b>Vit A rich vegetables</b> (pumpkin, squash, red sweet pepper, Carrots, sweet potato) ORANGE-COLOURED VEGETABLES	___	___
G	<b>Other vegetables:</b> Onions, garlic, tomatoes, cucumber, radish, cabbage, lettuce, tomato paste	___	___
H	<b>Vit A rich fruits:</b> mango, Apricots, peaches, papaya, ORANGE-COLOURED FRUITS-do not consider oranges/citrus)	___	___
I	<b>Other Fruits:</b> banana, apple, avocado, citrus – (mandarin, lemon), melon, watermelon, pomme grenade syrup.	___	___
J	<b>ORGAN MEAT LIVER, KIDNEY, HEART AND / OR OTHER ORGAN MEATS</b>	___	___
K	<b>Flesh meat.</b> Beef; Goat; Pork; Chicken, turkey, sheep, other Meat,	___	___
L	<b>Eggs</b>	___	___
M	<b>Fish</b> (Dried/Fresh/Smoked Fish, Other Sea-food (Excluding Fish Sauce/Powder)	___	___
N	<b>Sugar/Sugar Products/Honey</b> (Sugar; Sugar Cane; Honey; Jam; Jelly; Sweets/Candy/ Chocolate; Other Sugar Product, Biscuits, Pastries, Cakes)	___	___
O	<b>Milk/Milk Products</b> (Fresh/Powdered/Soured Milk; Yogurt;, Cheese; Other Milk Product - Excluding Margarine/Butter or Small Amounts of Milk for Tea/Coffee)	___	___
P	<b>Fats/Oil</b> (olive Oil; other vegetable oil, gee, Butter; Margarine; Other Fat/Oil)	___	___
Q	<b>Spices/Condiments</b> (Tea; Coffee, Nescafe/Cocoa; Salt; Spices; Yeast/Baking Powder; Other Condiment - Including Small; ketchup/Hot Sauce; Maggy cubes; Amounts of Milk for Tea/Coffee)	___	___
p	<b>Other</b>		

10.8	Would you like to add any comments/observations at this stage?	Yes 1 No 2
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**SECTION 10 – COPING STRATEGIES**

10.1	During the last 30 days, did you experience lack of food or money to buy enough food to meet the needs of all your household members?	Yes = 1	0= No
10.2	During the last 7 days, how many times (in days) did your household had to employ one of the following strategies to cope with a lack of food or money to buy it?	<b>Number of days 0 -7</b>	
1.	Relied on less preferred, less expensive food	_	
2.	Borrowed food or relied on help from friends or relatives	_	
3.	Reduced the number of meals eaten per day	_	
4.	Went an entire day without eating	_	
5.	Restrict consumption by adults in order to young-small children to eat?	_	
6.	Send household members to eat elsewhere	_	
7.	Reduced portion size of meals	_	
8.	Restrict consumption of female household members.	_	
10.3	During the past 30 days, did anyone in your household have to do one of the following things because there was not enough food or money to buy it?	<b>Insert the code below 1-4</b>	
1.	Selling household goods (radio, furniture, television, jewelry etc..)	_	
2.	Sell productive assets or means of transport (sewing machine, wheelbarrow, bicycle, car, livestock..)	_	
3.	Reduce essential non-food expenditures such as education, health, etc..	_	
4.	Spent some or all of the HH savings	_	
5.	Bought food on credit or borrowed money to purchase food.	_	
6.	Sold house or land	_	
7.	Withdrew children from school	_	
8.	Have school children (6 -15 years old) involved in income generation	_	
9.	Marriage of children under 18	_	
10.	Begged/ Asked for money from strangers	_	
11.	Sent an adult household member sought work elsewhere (regardless of the usual seasonal migration)	_	
12.	Sent a child HH member to work elsewhere (not related to usual seasonal migration)	_	
13.	HH members 18 years and over accept high risk, illegal, socially degrading or exploitative temporary jobs/activities? (e.g. theft, survival sex, exchange of favors, services )	_	
14.	HH members under the age of 18 accepting high risk, dangerous, or exploitative work	_	
15.	Reduce expenditure on food	_	
<b>1 = YES</b> <b>2 = Non applicable. HH do/did not have</b> <b>3= No, not need to do it</b> <b>4 = No because HH had already done it and cannot continue doing it</b>			

**SECTION 13 – HUMANITARIAN ASSISTANCE**

13	(a) Have you received any kind of assistance over the last 3 months? 0 = No / 1 = Yes	(b) How many times did you receive assistance in the last six months? Indicate the number from 1-6	c) total amount charged last 30 days
13.1	Food assistance (ecard/voucher)	_	_  MK
13.2	Food assistance (In kind)	_	<b>Not applicable</b>
13.3	Health care/drugs /	_	
13.4	Psychosocial support /	_	
13.5	Hygiene kits /	_	
13.6	Other non-food items /	_	
13.7	Unconditional Cash /	_	_  MK
13.10	Others (specify)_____	_	

13.3	(a) Have you received any kind of assistance or service in the last 12 months?[For free] 0 = No / 1 = Yes	
	Education support (services)	_
	Donation of Shelter materials	_
	Donation of Furniture/clothes	_
	Support your water storage items (tanks, containers, buckets)	_
	Support Water service connection /	_

Support and build (new or refreshed) latrines/ toilets/	_
Receive Cooking kits and non-food kits (like blankets, etc)	_
Receive legal support	_
Others (specify)	_

**SECTION 14 : MEDIA/INTERNET USE [REFUGEE AND MALAWI]**

14.1	How many working cell phones in total does your household own?	Number
1	Normal cell-phone	_
2	Smartphone	_
<b>Total</b>		_

14.2	How do you receive information related to services or available assistance, mainly related to humanitarian assistance and Government help?	
	SMS	1
	Hotline	2
	Whatsapp	3
	Shops	4
	Leaflets/posters (distributed in the camp)	5
	From UNHCR or partners staff	6
	At camp management office	7
	At community meetings	8
	At notice boards	9
	Through word of mouth (neighbors, relatives)	10
	No information received	11
Others	12	

14.3	How often do you use the following medias?	1.Almost every day 2.At least once a week 3.Less than once a week 4.Not at all
1	How often do you listen to the radio?	_
2	How often do you watch TV?	_
3	How often do you use internet? [for following news, emai, etc)	_

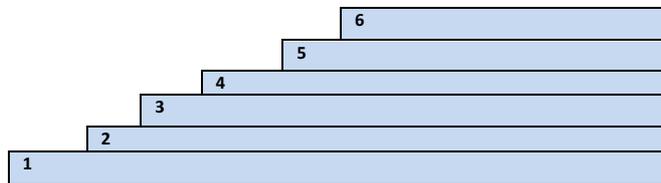
14.4	Are you active on any social media platform on internet?	1.Almost every day 2.At least once a week 3.Less than once a week 4.Not at all
1	Not using any social media	_
2	Facebook	_
3	Instagram	_
4	Whatsapp	_

**SECTION 15 : SUBJECTIVE ASSESSMENT OF WELL-BEING [REFUGEE AND MALAWI]**

15.1 First roster	<ol style="list-style-type: none"> <li>1. It was less than adequate for household needs</li> <li>2. It was just adequate for household needs</li> <li>3. It was more than adequate for household needs</li> </ol>
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Concerning your housing, which of the following is true?	
Concerning your households clothing, which of the following is true?	
Concerning the standard of health care you received for household members, which of the following is true?	
[ NOTE THAT ADEQUATE MEANS NO MORE OR NO LESS THAN WHAT the RESPONDENT CONSIDERRES TO BE MINIMUM CONSUMPTIONS NEEDS OF THE HOUSEHOLDS]	

<b>15.2 Six steps</b>	Imagine six steps, where on the bottom, the first steps, stand the poorest people, and on the highest steps, the sixth, stand the rich
On which step are you today?	
On which steps are most of your neighbors today?	
On which steps are most of your friends today?	



<b>15.3 Which of the following is true? Your current income.....[READ]</b>
<ol style="list-style-type: none"> <li>1. Allows you to build your saving</li> <li>2. Allows you to save just a little</li> <li>3. Only just meets your expenses</li> <li>4. Is not sufficient so you need to use your savings to meet expenses</li> <li>5. Is really not sufficient, so you need to borrow to meet expenses</li> </ol>

**ENUMERATORS TAKE OUT 'CONSENT FORM' AND LET HH/PRINCIPAL RESPONDENT SIGN**

**ENUMERATORS TASK [REMINDER]**At the end of the survey, the head of the HH/principal respondent should be asked to sign a consent form, affirming, in a language they can understand, that:

1. The interviewer introduced themselves clearly;
2. That he/she explained the purpose of the questions;
3. Tthat the questions were asked in a way they were able to understand;
4. That they consent to UNHCR retaining the data they have provided for the purposes of providing protection and assistance;
5. That they have been informed of their right to submit complaints about data processing to the data controller and IGO, and provided with details of where to direct such complaints

**ENDE/FIN OF THE QUESTIONNAIRE. PLEASE THANKS FOR COLLABORATION AND COOPERATION. IF ANY QUESTIONS RELATED TO USE OF THE INFORMATIONS, THE RESPONDENT SHALL CONTACT UNHCR.**